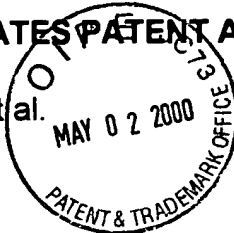


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: M. Gupta et al.

Serial No.: 09/505,509

Filed: February 17, 2000



Docket No. YOR9-2000-0004US1

Group Art Unit:

Dated: April 26, 2000

For: **A DISTRIBUTED BID PROCESSING METHOD FOR OPEN-CRY AND  
DESCENDING PRICE AUCTIONS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

**SUBMISSION OF DECLARATION**

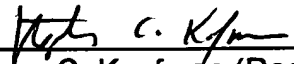
Sir:

Submitted herewith is a Declaration, properly signed by the Applicants  
referencing application Serial No. 09/505,509 as originally filed on February 17, 2000.  
Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the  
surcharge for filing the Declaration on a date later than the filing date of the application.

07/26/2001 SDIRETA1 00000019 090468 09505509  
01 FC:105 130.00 CH

Respectfully submitted,

By   
Stephen C. Kaufman (Reg. 29,551)  
Attorney for Applicants  
914-945-3197

IBM Corporation  
Intellectual Property Law  
P.O. Box 218  
Yorktown Heights, N.Y. 10598  
Fax: 914-945-3281

#3

## FORMALITIES LETTER



\*OC000000005062644\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/505,509	02/17/2000	Manish Gupta	YOR9-2000-0004

Stephen C Kaufman  
Intellectual Property Law Dept  
IBM Corporation  
P O Box 218  
Yorktown Heights, NY 10598

Date Mailed: 04/19/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

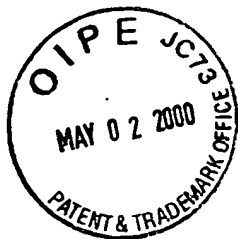
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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



03 CO #3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: M. Gupta et al.

Serial No.: 09/505,509

Examiner:

Filed: February 17, 2000

Group Art:

For: A DISTRIBUTED BID PROCESSING METHOD FOR OPEN-CRY AND  
DESCENDING PRICE AUCTIONS

Assistant Commissioner for Patents  
Washington, D. C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Notice to File Missing Parts of Application
3. Submission of Declaration
4. Declaration and Power of Attorney
5. Assignment to IBM with Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

*Assistant Commissioner for Patents  
Washington, D.C. 20231*

on April 28, 2000.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: YOR9-2000-0004US1